

# UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ashkenazi, et al.

Serial No.: 09/941,992

Filed: August 28, 2001

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Not yet assigned RECE

Examiner: Not yet assigned

APR 0 3 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assis ant

nissioner of Patents, Washington, D.C. 20231 on

November 16, 2001

Teri Renshaw

### TRANSMITTAL LETTER

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- 1. Preliminary Amendment;
- 2. Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: November 16, 2001

Elizabeth M. Barnes, Ph.D.

Reg. No. 35,059

Telephone No. (650) 225-4563

09157
PATENT TRADEMARK OFFICE

JAN 0 7 2002

Patent Docket P2730P1C1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Avi Ashkenazi, et al.

Serial No.: 09/941,992

Filed: August 28, 2001

Secreted and Transmembrane For:

Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Unknown

Examiner: Unknown

**CONFIRMATION NO: 8312** 

FCH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Palents, Washington, D.C. 20231 on

December 19, 2001

eri Renshaw

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

**Box: MISSING PARTS** 

**Assistant Commissioner of Patents** 

Washington, D.C. 20231

Sir:

This is responsive to the Notice to File Missing Parts - Filing Date Granted dated December 6, 2001. Transmitted herewith are the following documents:

- A copy of the duly executed Declaration in 6 parts, copy of Preliminary Amendment, 1. and postcard which was filed with the Patent Office by Certificate of Mailing on November 16, 2001.
  - Copy of Notice to File Missing Parts of Application. 2.

Applicant believe that this communication is responsive to the Notice to File Missing Parts.

The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No. 07-0630.  $\underline{\mathbf{A}}$ duplicate of this sheet is enclosed.

> Respectfully submitted, GENENTECH, INC.

Date: December 19, 2001

Elizabeth/M. Barnes, Ph.D

Reg. No. 35,059

Telephone No. (650) 225-4563

PATENT TRADEMARK OFFICE

Revised (10/18/95)

In Re Application of:

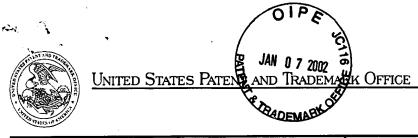
Serial No: 09/941,992 Filed on: August 28, 2001 Mailed on: <u>November 16, 2001</u>

Docket No: P2730P1C1 By: Elizabeth M. Barnes Reg. No.: 35,059



The following has been received by the U.S. Patent Office on the date stamped:

- Transmittal letter;
- · Preliminary Amendment;
- Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts; and
- · post card.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/941,992

08/28/2001

Avi J. Ashkenazi

P2730P1C1

09157 GENENTECH, INC. 1 DNA WAY SOUTH SAN FRANCISCO, CA 94080 RECEIVED

APR 0 3 2002

**TECH CENTER 1600/2900** 

CONFIRMATION NO. 8312
FORMALITIES LETTER
\*OC000000007161560\*

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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